



CLIENT PROFILE CONFIRMATION FORM 客戶資料確認表格

Account Name 賬戶名稱: _____

Account No. 賬戶號碼: _____

IMPORTANT NOTES 重要備注:

1. Please only answer those questions which you have not answered before OR if the relevant information which you previously provided to Get Nice Securities Limited and/or Get Nice Futures Company Limited (collectively known as "GN" or "us") has changed but you have not yet informed GN of the change(s).
若閣下從未向結好證券有限公司及或結好期貨有限公司統稱「結好」或「我們」就有關問題提供資料，或閣下的有關資料已更改而沒有通知結好，請回答有關問題。
2. We will assume there is no change to your relevant information if a question is not answered.
如閣下就個別問題未有提供資料，我們會視為閣下的有關資料並無更改。
3. Please complete in Block Letters and tick where applicable.
請用正楷填寫，並在適當地方加上剔號。

Please answer the following questions and complete relevant part(s) of this form.

請閣下回答以下問題，並根據指示填妥此表格之相應部份:

For Individual & Joint Account 個人及聯名賬戶適用

(Each joint account holder shall complete part 1 & 2 respectively 每名聯名賬戶持有人須分別填寫本表格第一及第二部份)

1. Has there been any change to your personal information?
閣下之個人資料是否有改變?
 Yes 是 → Please answer the relevant question(s) in **Part 1** 請回答**第一部份**之相關問題
 No 否
2. Has there been any change to your financial profile and investment objective?
閣下之財務紀錄及投資目標是否有改變?
 Yes 是 → Please answer the relevant question(s) in **Part 2** 請回答**第二部份**之相關問題
 No 否

For Corporate Account 公司賬戶適用

3. Has there been any change to your corporate information?
貴公司之資料是否有改變?
 Yes 是 → Please answer the relevant question(s) in **Part 3** 請回答**第三部份**之相關問題
 No 否
4. Has there been any change to your corporate financial background and investment objective?
貴公司之財務狀況及投資目標是否有改變?
 Yes 是 → Please answer the relevant question(s) in **Part 4** 請回答**第四部份**之相關問題
 No 否

If all answers to the above questions are "No", please move to the last page of this form and signify your confirmation under "Client Acknowledgement".

如以上問題的答案均為「否」，請跳過以下第一至四部份，在此表格終部「客戶確認」部份簽署確認。

For Individual & Joint Account 個人及聯名賬戶適用**Part 1: Personal Information****第一部份: 個人資料**

In English 英文		In Chinese 中文	
HKID / Passport No. 香港身份證/護照號碼		Nationality 國籍	
Date of Birth 出生日期 (dd/mm/yyyy 日/月/年)		Telephone No. 電話號碼	
Fax No. 傳真號碼		Mobile Phone No. 手提電話號碼	
E-mail Address 電郵地址			
Residential Address (P.O. Box not accepted) 住宅地址(不接受郵政信箱)			
Correspondence Address (P.O. Box not accepted) 通訊地址(不接受郵政信箱)			
Nature of Occupancy 住宅類別			
<input type="checkbox"/> Owned (No mortgage) 自置 (無按揭)		<input type="checkbox"/> Mortgaged / Monthly Installment 按揭/每月供款	
HK\$ _____			
<input type="checkbox"/> Rented / Monthly Rental 租用/每月租金 HK\$ _____		<input type="checkbox"/> With Parents 與父母同住	
<input type="checkbox"/> Relative's property 親屬樓宇		<input type="checkbox"/> Quarters 宿舍	
Employment Status 就業情況			
<input type="checkbox"/> Self-employed 自僱		<input type="checkbox"/> Full-time employed 全職	
<input type="checkbox"/> Other (Please Specify 其他(請註明): _____		<input type="checkbox"/> Part-time employed 兼職	
		<input type="checkbox"/> Retired 退休	
Employer Name 僱主名稱		Nature of Business 業務性質	
Office Phone No. 公司電話	Year(s) with Existing Employer 已任職年期	Position 職位	
Office Address 公司地址			

Part 2: Financial Profile and Investment Objective**第二部份: 財務紀錄及投資目標**

Estimated Annual Income 估計每年收入 <input type="checkbox"/> Under HK\$200,000 以下 <input type="checkbox"/> HK\$200,000 - HK\$500,000 <input type="checkbox"/> HK\$500,001 - HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000 <input type="checkbox"/> Above HK\$5,000,000 以上 <input type="checkbox"/> Other Income Source 其他收入來源: _____		Approximate Net Asset Value (Total Assets less Total Liabilities) 估計資產淨值 (總資產減總負債) <input type="checkbox"/> Under HK\$500,000 以下 <input type="checkbox"/> HK\$500,000 - HK\$3,000,000 <input type="checkbox"/> HK\$3,000,001 - HK\$8,000,000 <input type="checkbox"/> HK\$8,000,001 - HK\$30,000,000 <input type="checkbox"/> Above HK\$30,000,000 以上	
Educational Background 教育程度: <input type="checkbox"/> Primary School 小學 <input type="checkbox"/> Secondary School 中學 <input type="checkbox"/> University or above 大學或以上 <input type="checkbox"/> Others 其他: _____			
Source of Income 收入來源: <input type="checkbox"/> Salary 工資 <input type="checkbox"/> Commission 佣金 <input type="checkbox"/> Investment 投資 <input type="checkbox"/> Business Profit 商業利潤 <input type="checkbox"/> Savings 儲蓄 <input type="checkbox"/> Others 其他: _____			
Investment Experience 投資經驗: <input type="checkbox"/> Less than 1 Year 少於1年 <input type="checkbox"/> 1-5 Years 年 <input type="checkbox"/> 6-10 Years 年 <input type="checkbox"/> Over 10 Years 超過10年			
Investment Objectives 投資目標: <input type="checkbox"/> Capital Growth 資本增值 <input type="checkbox"/> Dividend Income 股息收入 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Speculation 投機			
Investment Products 投資產品: <input type="checkbox"/> Stock 股票 <input type="checkbox"/> Futures 期貨 <input type="checkbox"/> Options 期權 <input type="checkbox"/> Bond 債券 <input type="checkbox"/> Mutual Fund/Unit Trust 基金 <input type="checkbox"/> Other Derivatives 其他衍生工具			

For Corporate Account 公司帳戶適用**Part 3: Corporate Information****第三部份: 公司資料**

In English 英文	In Chinese 中文
Nature of Entity 組織類別 <input type="checkbox"/> Sole Proprietor 獨資 <input type="checkbox"/> Partnership 合夥 <input type="checkbox"/> Corporation 公司 <input type="checkbox"/> Trust 信託	Place of Incorporation 公司註冊地
Certificate No. of Incorporation 公司註冊號碼	Business Registration No. in Hong Kong 香港商業登記證號碼
Nature of Business 業務性質	Date of Incorporation 註冊日期 (dd/mm/yyyy 日/月/年)
Telephone No. 電話號碼	Fax No. 傳真號碼
Registered Address 註冊地址	
Principal Business Address 主要營運地址	
E-mail Address 電郵地址	
Is there any change to director(s) or shareholder(s) and their particulars? 公司董事或股東及其資料是否有任何改變? <input type="checkbox"/> Yes 是 → Please provide certified true copy of (i) updated register of director(s)/shareholder(s);(ii) ID of the director(s)/shareholder(s);(iii) board resolution; and Substantial Shareholder Information Update Form 請提供以下檔的核證副本:(i)已更新的董事/股東名冊;(ii)董事/股東身份證明文件;(iii)董事會決議案; 及大股東資料更新表格 <input type="checkbox"/> No 否	
Is there any change to client authorization? 客戶授權是否有任何改變? <input type="checkbox"/> Yes 是 → Please provide board resolution authorizing the person(s) to operate the account on behalf of you 請提供證明批准授權人士運作此帳戶的董事局決議案 <input type="checkbox"/> No 否	

Part 4: Financial Background and Investment Objective**第四部份: 財務狀況及投資目標**

Paid-up Capital 已繳股本 : _____	
Latest Net Asset Value 最近資產淨值	<input type="checkbox"/> < HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 – HK\$5,000,000 <input type="checkbox"/> HK\$5,000,001 – HK\$10,000,000 <input type="checkbox"/> HK\$10,000,001 – HK\$50,000,000 <input type="checkbox"/> HK\$50,000,001 – HK\$100,000,000 <input type="checkbox"/> > HK\$100,000,001
Latest Profit after Tax 最近稅後盈利	<input type="checkbox"/> <HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 – HK\$5,000,000 <input type="checkbox"/> HK\$5,000,001 – HK\$10,000,000 <input type="checkbox"/> >HK\$10,000,000
Investment Experience 投資經驗	<input type="checkbox"/> Less than 1 Year 少於1年 <input type="checkbox"/> 1-5 Years 年 <input type="checkbox"/> 6-10 Years 年 <input type="checkbox"/> Over 10 Years 超過10年
Investment Objectives 投資目的	<input type="checkbox"/> Capital Growth 資本增值 <input type="checkbox"/> Dividend Income 股息收入 <input type="checkbox"/> Hedging 對沖 <input type="checkbox"/> Speculation 投機
Investment Products 投資產品	<input type="checkbox"/> Stock 股票 <input type="checkbox"/> Futures 期貨 <input type="checkbox"/> Options 期權 <input type="checkbox"/> Other Derivatives 其他衍生工具 <input type="checkbox"/> Bond 債券 <input type="checkbox"/> Mutual Fund/Unit Trust 基金

Client Acknowledgement 客戶確認:

I/We, the undersigned, confirm that all the information provided in or otherwise in connection with this *Client Profile Confirmation Form* is, and in respect of any information provided hereafter, shall be, at the time of provision, true, accurate, complete and up-to-date, and authorize GN to confirm and verify this from any persons or entities GN considers appropriate, including but not limited to the credit agencies. GN is entitled to rely fully on such information and representations for all purposes, unless GN receive notice in writing of any changes. I/We confirm and undertake to notify GN immediately of any changes to any of the aforesaid information. I/We understand and agree that unless otherwise indicated GN in writing, any such changes will not come into effect until 5 working days after the actual receipt of the relevant written notification by GN.

本人/吾等，即以下簽署人，確認本人/吾等現時及其後於此「客戶資料確認表格」或與其有關所提供的資料乃屬真實、準確、完整及最新，並授權結好可在其認為恰當的情況下向任何人士或實體確定和查證，包括但不限於信貸機構。除非結好收到任何客戶資料改變的書面通知，結好將有權依據這些資料，作任何用途或目的。如所提供之資料有任何更改，本人/吾等確認及承諾立刻以書面通知結好。本人/吾等明白及同意除非由結好另作書面通知，否則該資料更改只會於結好確實收到有關之書面通知當日起計五個工作天後才會生效。

Agreed by the undersigned 同意並簽署如下:

*Please use the signature(s) on file with us. 請用留存本行記錄的簽署式樣

Client Signature 客戶簽署

Authorized Signature(s) / Company Chop 獲授權人士簽署 / 公司蓋章

Account Name 賬戶名稱: _____

Account No 賬戶號碼: _____

Date 日期: _____

For Office Use Only:

Acknowledged by Licensed Person: _____

Signature checked by: _____

Input by: _____

Approved by: _____